

1652

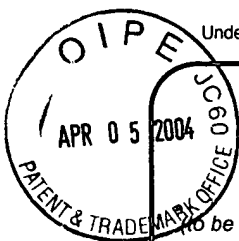
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RPTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

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TRANSMITTAL FORM (Do not be used for all correspondence after initial filing)		Application Number	09/980,881
		Filing Date	March 28, 2002
		First Named Inventor	Matsumoto
		Group Art Unit	4327 1652
		Examiner Name	Sheridan Swope
Total Number of Pages in This Submission	5	Attorney Docket Number	082371-000000US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Return receipt postcard 2) Four (4) references
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Total number of pages <u>does not</u> include cited references.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Joe Liebeschuetz	Reg No. 37,505
Signature		
Date	March 31, 2004	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: March 31, 2004			
Typed or printed name	Christopher R. Fitting		
Signature		Date	March 31, 2004

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PATENT
Attorney Docket No.: 082371-000000US
Client Reference No.: MAT-101PCT-US

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MATSUMOTO, A.

Application No.: 09/980,881

Filed: March 28, 2002

For: HUMAN BRAIN
CARBOXYPEPTIDASE B

Examiner: Sheridan Swope

Art Unit: 1327

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of references AC, AF, AL and AM are enclosed. Pursuant to 37 CFR § 1.98(c), copies of references AD-AE and AB are not enclosed because they are equivalents of reference AC and copies of references AA and AG-AK are not submitted because they are equivalents of reference AF. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

CERTIFICATION

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

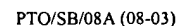
Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Joe Liebeschuetz
Reg. No. 37,505

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JOL:crf



Substitute for form 1449A/PTO

Complete if Known

(use as many sheets as necessary)

Sheet	1	of	2
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Application Number	09/980,881
Filing Date	March 28, 2002
First Named Inventor	MATSUMOTO, Akira
Art Unit	1327
Examiner Name	Sheridan Swope
Attorney Docket Number	082371-000000US

U.S. PATENT DOCUMENTS+

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FOREIGN PATENT DOCUMENTS

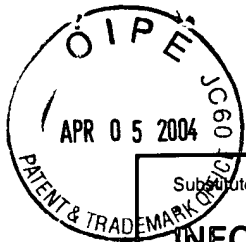
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**Examiner
Signature**

Date Considered

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ² Applicant's unique citation designation number (optional). ³ Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

60175207 v1



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/980,881
				Filing Date	March 28, 2002
				First Named Inventor	MATSUMOTO, Akira
				Art Unit	1327
				Examiner Name	Sheridan Swope
Sheet	2	of	2	Attorney Docket Number	082371-000000US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AL	EATON et al., GSP Accession Number AAR90293, "Human plasma carboxypeptidase B," 4/12/96 - first entry, 3/25/03 - revised.	
	AM	MORSER et al., GSN Accession Number AAV74302, "Human plasma carboxypeptidase B (PCPB) thr147 coding sequence," 4/28/99 - first entry.	

Examiner Signature		Date Considered	
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